

Oneida Nation

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Oneida, WI 54155

BC Resolution # 09-25-19-G

Naming Individuals as Authorized Persons for SagePoint Accounts

- WHEREAS,** the Oneida Nation is a federally recognized Indian government and a treaty tribe recognized by the laws of the United States of America; and
- WHEREAS,** the Oneida General Tribal Council is the governing body of the Oneida Nation; and
- WHEREAS,** the Oneida Business Committee has been delegated the authority of Article IV, Section 1, of the Oneida Tribal Constitution by the Oneida General Tribal Council; and
- WHEREAS,** the Oneida Nation opened an account or accounts in its name with SagePoint Financial and must authorize individuals to act on behalf of the Oneida Nation with respect to the account or accounts; and

NOW THEREFORE BE IT RESOLVED, that the Oneida Nation opened an account or accounts in its name with SagePoint Financial and that two of the individuals named below as Authorized Person(s), the Chief Financial Officer or the Chief Financial Advisor and one of the Oneida Business Committee officers, may, on behalf of the Oneida Nation, be and they hereby are authorized and empowered to (1) give written orders in the said account or accounts for the purchase, sale, or other disposition of stocks, bonds, and other securities, (2) deliver to and receive from Pershing LLC (Pershing), on behalf of the Oneida Nation, monies, stocks, bonds, and other securities, (3) establish and maintain an asset management account with debit card, check writing and margin privileges from which account funds are directly spent with the authorized persons as indicated in the separate asset management account agreement having check writing and debit card privileges, (4) order the transfer or delivery of funds, monies or securities to any other person whatsoever, (5) sign acknowledgements of the correctness of all statements of accounts, and (6) make, execute, and deliver under the organizational seal any and all written endorsements, releases and documents necessary or proper to effectuate the authority hereby conferred; the within authorization to each of said officers to remain in full force and effect until written notice of the revocation thereof shall have been received by SagePoint Financial and Pershing.

NOW THEREFORE BE IT RESOLVED, that the Oneida Nation opened an account or accounts in its name with SagePoint Financial and that the Chief Financial Officer or the Chief Financial Advisor may, on behalf of the Oneida Nation, be and they hereby are authorized and empowered to (1) give oral orders in the said account or accounts for the purchase, sale, or other disposition of stocks, bonds, and other securities, (2) deliver to and receive from Pershing LLC (Pershing), on behalf of the Oneida Nation, monies, stocks, bonds, and other securities to remain in full force and effect until written notice of the revocation thereof shall have been received by SagePoint Financial and Pershing and shall be followed by written confirmation of oral orders.

NOW THEREFORE BE IT FURTHER RESOLVED, that the following are the names, titles, and signatures of the executive officer authorized to act for this organization:

/s/ Lawrence Barton
Lawrence Barton, Chief Financial Officer

/s/ Terry A. Cornelius
Terry A. Cornelius, Chief Financial Advisor

NOW THEREFORE BE IT FINALLY RESOLVED, that the following are the names, titles, and signatures of the elected officers of the Oneida Business Committee authorized to act for this organization:

/s/ Tehassi?tasi Hill
Tehassi?tasi Hill, Tribal Chairman

/s/ Patricia King
Patricia King, Tribal Treasurer

/s/ Brandon Stevens
Brandon Stevens, Tribal Vice-Chairman

/s/ Lisa Summers
Lisa Summers, Tribal Secretary

CERTIFICATION

I, the undersigned, as Secretary of the Oneida Business Committee, hereby certify that the Oneida Business Committee is composed of 9 members of whom 5 members constitute a quorum; 7 members were present at a meeting duly called, noticed and held on the 25th day of September, 2019; that the forgoing resolution was duly adopted at such meeting by a vote of 5 members for, 0 members against, and 1 members not voting*; and that said resolution has not been rescinded or amended in any way.



Lisa Summers, Secretary
Oneida Business Committee

*According to the By-Laws, Article I, Section 1, the Chair votes "only in the case of a tie."